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**APPLICATION NUMBER: 10/286,872**

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11129 U.S. PT

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2002-1317A

First Named Inventor : Bin WU et al.

Title: POWDER COATING COMPOSITIONS CONTAINING  
ANHYDRIDE END-CAPPED CRYSTALLINE POLYESTERS

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Small Entity Status is hereby asserted.

3. ☒ Specification [Total Pages: 25]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Sequence Listing, a table, or a computer program listing appendix.

- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) [Total Sheets: ]

5. ☒ Oath or Declaration [Total Pages: 3]  
a.1. ☐ Newly executed (original or copy)  
a.2. ☒ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
  - ☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- 13. ☐ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By: Matthew Jacob  
Matthew Jacob  
Registration No. 25,154

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Bin WU et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed November 4, 2002 : Attorney Docket No. 2002-1317A

POWDER COATING COMPOSITIONS  
CONTAINING ANHYDRIDE END-CAPPED  
CRYSTALLINE POLYESTERS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

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Attached hereto is a check in the amount of \$844.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$740.00  
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*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Bin WU et al.

By Matthew Jacob  
Matthew Jacob  
Registration No. 25,154  
Attorney for Applicants

MJ/jef  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
November 4, 2002

[Check No. 52803]  
2002-1317A

## **POWDER COATING COMPOSITIONS CONTAINING ANHYDRIDE END-CAPPED CRYSTALLINE POLYESTERS**

### **BACKGROUND OF THE INVENTION**

This invention relates to a carboxylic acid anhydride end-capped crystalline polyester and thermosetting coating compositions containing said polyester in powder coating applications, and more particularly in low temperature cure powder coating applications.

Powder coating applications are considered green chemistry process because their compositions greatly reduce or completely eliminate the organic solvents used in liquid paints. When they are thermally cured, no or very little volatile organic compounds (VOC) are released to the surrounding environment.

A typical thermoset powder coating formulation consists of a polymeric binder, curative, pigment, flow aid, degassing agent and curing catalyst. Among all binders polyesters are widely used because they provide excellent weathering resistance and mechanical properties as well as good appearance. Polyester resins have either hydroxyl or carboxyl groups at their chain ends. Hydroxyl functional polyesters are typically formulated with a blocked isocyanate curative to form a polyester-urethane powder coating. Carboxyl functional polyesters may be formulated with a crosslinker such as triglycidyl isocyanurate, TGIC, polyepoxy resins and other compounds or polymers which contain functional groups reactable with carboxylic acid groups to form thermosetting networks. Powder coating compositions using carboxylated polyester and TGIC curative give coatings with good exterior durability, and the powder coatings using polyepoxy resins as curatives are mainly for interior applications. The polyester resins in powder coating compositions usually possess a glass transition temperature of above 50°C, which allows the powder coating composition to have storage stability without sintering.

Powder coating formulations are typically mixed, extruded, pulverized, classified and electrostatically applied onto substrates. The coated parts are then baked at elevated temperatures.

Currently, the great majority of polyesters used in heat-curable powder compositions are amorphous polyesters. When the polyester is amorphous, it is difficult to prepare perfect heat-curable powdered compositions because they have to meet often contradictory criteria. Thus, these powders may not re-agglomerate during handling, transportation and storage, which implies that the amorphous polyester must possess a sufficiently high glass transition temperature ( $T_g$ ). On the other hand, in order for the powder particles to be able to coalesce and to form a perfectly homogeneous and uniform coating, it is necessary for the  $T_g$  of the polyester to be sufficiently low to ensure a low viscosity in the molten state which itself ensures good wetting of the pigments and other solid materials accompanying the polyester in the formulation of the said heat-curable powder compositions.

Moreover, the powder must be capable of melting at the stoving temperature in order to form an even film before the crosslinking reaction begins which results in the final curing. In order to obtain good spreading of the molten film over the surface of the substrates, it is therefore necessary for the viscosity of the polyester in the molten state to be sufficiently low. This is because a very high viscosity in the molten state prevents good spreading of the molten film and is reflected by a loss in the evenness and gloss of the coating. Finally, the rate of the crosslinking reaction is controlled by varying the temperature, the amount and/or the nature of the curative and that of the curing catalyst, which is optionally used.

For all these reasons, it is not generally recommended to produce coatings from compositions based on such amorphous polyesters by stoving at temperatures below  $160^{\circ}\text{C}$  for approximately 10 to 20 minutes.

There is increasing market interest in applying powder coatings on heat-sensitive substrates such as wood, plastics and medium-density fibreboard (MDF). In order to fulfil these needs, cure temperatures must be lowered to below  $150^{\circ}\text{C}$ . However, at low temperatures, powders tend to cure incompletely and do not flow effectively. Incomplete cure and poor flow can cause many property defects such as adhesion failure, poor chemical resistance, poor mechanical properties, orange peel, etc.



crystalline polyester. Neither the crystalline polyester nor the preparation of it was described in the patent. Also, that patent is not related to the current invention in that it used a glycidyl (meth)acrylate containing copolymer as the hardener, whereas in the current invention a polyphenoxy resin is mainly used.

In the present invention, particular semi-crystalline polyesters end-capped with a carboxylic acid anhydride are provided.

According to the present invention, low temperature powder coatings are obtained from a particular combination of a carboxylic acid group containing amorphous polyester, the carboxylic acid anhydride end-capped semi-crystalline polyester of the present invention, a glycidyl group containing polyphenoxy resin optionally in combination with one or more curing agents having functional groups reactable with the polyesters' carboxylic acid groups, and a catalyzing compound for the thermosetting reaction.

## SUMMARY OF THE INVENTION

According to the current invention there are provided new carboxyl acid anhydride end-capped semi-crystalline polyesters.

According to the current invention, there are also provided new thermosetting compositions which comprises:

- (1) a carboxyl functional amorphous polyester (A)
- (2) a carboxyl functional semi-crystalline polyester (B)
- (3) a glycidyl group containing polyphenoxy resin (C)
- (4) a glycidyl group containing acrylic copolymer (D)
- (5) a curing catalyst
- (6) a curing agent having functional groups reactive with the polyester carboxylic acid groups (E).

Said thermosetting compositions are for low temperature cure powder applications on metal and heat sensitive substrates, which provide excellent coating appearance and mechanical properties.

The current invention solves the problems of low flow, poor appearance and inferior mechanical properties encountered in conventional low temperature cure powder coatings.

## DETAILED DESCRIPTION OF THE INVENTION

The present invention provides particular carboxylic acid anhydride end-capped semi-crystalline polyesters. The acid anhydride end-capped semi-crystalline polyesters of the present invention can be used in thermosetting coating compositions.

Thus, according to the present invention there are provided new powdered thermosetting compositions for low temperature cure applications on metal and heat sensitive substrates, comprising as binder a mixture of a carboxyl group containing amorphous polyester, a carboxyl group containing semi-crystalline polyester, a glycidyl group containing polyphenoxy resin, a glycidyl group containing acrylic copolymer and a



curing agent having functional groups reactive with the polyesters' carboxylic acid groups, characterized in that:

- the carboxyl functional amorphous polyester (A) is composed of, referring to the diacid constituents, from 50 to 100 molar percent of terephthalic or isophthalic acid or their mixtures and from 50 to 0 molar percent of another aliphatic, cycloaliphatic or aromatic diacid, and, referring to the diol constituents, from 40 to 100 molar percent of neopentyl glycol and from 60 to 0 molar percent of another aliphatic or cycloaliphatic diol. Branching of the amorphous polyester is obtained by incorporation of a polyacid or polyol.
- the carboxyl functional semi-crystalline polyester (B) is obtained from the ring-opening reaction of the anhydride group of a trimellitic and/or pyromellitic anhydride with a hydroxyl group containing semi-crystalline polyester (b), which is composed of, referring to the diacid constituents, from 70 to 100 molar percent of terephthalic acid, 1,4-cyclohexanedicarboxylic acid or a linear chain dicarboxylic acid containing 4 to 16 carbon atoms and from 30 to 0 molar percent of another aliphatic, cycloaliphatic or aromatic diacid, and, referring to the diol constituents, from 70 to 100 molar percent of a cycloaliphatic or a linear chain aliphatic diol containing 2 to 16 carbon atoms and from 30 to 0 molar percent of another aliphatic or cycloaliphatic diol .
- the glycidyl group containing polyphenoxy resin (C) is from the Bisphenol A or from the phenol or cresol novolac type.
- the glycidyl group containing acrylic copolymer (D) is composed of 10 to 90 molar percent of a glycidyl group containing monomer and from 90 to 10 molar percent of other monomers copolymerizable with the glycidyl group containing monomer.
- the curing agent having functional groups reactive with the carboxylic acid groups of the polyesters (E) is a polyepoxy or  $\beta$ -hydroxyalkylamide compound.

The carboxyl functional amorphous polyesters (A) of the present invention have an acid number from 15 to 100 mg KOH/g and preferably from 30 to 70 mg KOH/g.

The carboxyl functional amorphous polyesters further are characterized by:

- a number averaged molecular weight ranging from 1100 to 15000 and preferably from 1600 to 8500, measured by gel permeation chromatography (GPC)
- a glass transition temperature ( $T_g$ ) from 40 to 80°C, measured by Differential Scanning Calorimetry according to ASTM D3418 with a heating gradient of 20°C per minute
- an ICI cone and plate viscosity according to ASTM D4287-88, measured at 200°C ranging from 5 to 15000 mPa.s.

The acid constituent of the amorphous polyester, according to the present invention, is from 50 to 100 molar percent composed of terephthalic or isophthalic acid or their mixtures and from 0 to 50 molar percent of another diacid constituent selected from one or more aliphatic, cycloaliphatic or aromatic diacids; such as: fumaric acid, maleic acid, phthalic anhydride, 1,4-cyclohexanedicarboxylic acid, 1,3-cyclohexanedicarboxylic acid, 1,2-cyclohexanedicarboxylic acid, succinic acid, adipic acid, glutaric acid, pimelic acid, suberic acid, azealic acid, sebacic acid, 1,12-dodecanedioic acid, trimellitic acid or pyromellitic acid, etc., or the corresponding anhydrides.

The glycol constituent of the amorphous polyester, according to the present invention, is from 40 to 100 molar percent composed of neopentyl glycol and from 0 to 60 molar percent of another glycol constituent selected from one or more aliphatic or cycloaliphatic glycols such as: ethylene glycol, propylene glycol, 1,4-butanediol, 1,6-hexanediol, 1,4-cyclohexanediol, 1,4-cyclohexanedimethanol, 2-methyl-1,3-propanediol, 2-butyl-2-ethyl-1,3-propanediol, hydrogenated Bisphenol A, hydroxypivalate of neopentyl glycol, trimethylolpropane, ditrimethylolpropane, pentaerythritol, etc.

The carboxyl functional semi-crystalline polyesters (B) of the present invention have a carboxyl number from 30 to 120 mg KOH/g and preferably from 50 to 100 mg KOH/g and more preferable from 70 to 100 mg KOH/g.

The carboxyl functional semi-crystalline polyesters are further characterized by:

- a number averaged molecular weight ranging from 1100 to 17000 and preferably from 1400 to 11200

- a fusion zone from 50 to 150°C, measured by Differential Scanning Calorimetry (DSC) according to ASTM D3418 with a heating gradient of 20°C per minute
- a glass transition temperature (T<sub>g</sub>) of below 40°C, measured by Differential Scanning Calorimetry (DSC) according to ASTM D3418 with a heating gradient of 20°C per minute
- a degree of crystallinity, measured by Differential Scanning Calorimetry (DSC) according to ASTM D3415 of at least 5 J/g and preferably at least 10 J/g
- an ICI (cone/plate) viscosity according to ASTM D4287-88, measured at 100°C of at least 10 mPa.s.

The acid constituent of the semi-crystalline polyester, according to the present invention, is from 70 to 100 molar percent composed of terephthalic acid, 1,4-cyclohexanedicarboxylic acid or a linear chain dicarboxylic acid containing from 4 to 16 carbon atoms such as succinic acid, adipic acid, glutaric acid, pimelic acid, suberic acid, azelaic acid, sebacic acid, 1,10-decanedioic acid, 1,11-undecanedioic acid, 1,12-dodecanedioic acid, 1,13-tridecanedioic acid, 1,14-tetradecanedioic acid, 1,15-pentadecanedioic acid, 1,16-hexadecanedioic acid, etc. used in a mixture or alone, and from 30 to 0 molar percent of another aliphatic, cycloaliphatic or aromatic diacid such as fumaric acid, maleic anhydride, phthalic anhydride, isophthalic acid, 1,3-cyclohexanedicarboxylic acid, 1,2-cyclohexanedicarboxylic acid, etc.

The glycol constituent of the semi-crystalline polyester, according to the present invention, is from 70 to 100 molar percent composed of a cycloaliphatic or linear-chain aliphatic diol containing 2 to 16 carbon atoms such as 1,4-cyclohexanediol, 1,4-cyclohexanedimethanol, hydrogenated Bisphenol A, 2,2,4,4-tetramethyl-1,3-cyclobutanol or 4,8-bis(hydroxymethyl)tricyclo[5.2.1.0]decane, ethylene glycol, 1,3-propanediol, 1,4-butanediol, 1,5-pentanediol, 1,6-hexanediol, 1,7-heptanediol, 1,8-octanediol, 1,9-nonanediol, 1,10-decanediol, 1,11-undecanediol, 1,12-dodecanediol, 1,13-tridecanediol, 1,14-tetradecanediol, 1,15-pentadecanediol, 1,16-hexadecanediol, etc., used in a mixture or alone, and from 30 to 0 molar percent of another aliphatic glycol such as propylene glycol, neopentyl glycol, 2-methyl-1,3-propanediol, 2-butyl-2-ethyl-1,3-propanediol, hydroxypivalate of neopentyl glycol, etc.

The glycidyl group containing polyphenoxy resin (C) of the present invention is selected from the Bisphenol A based epoxy resins, phenol or cresol epoxy novolacs.

Bisphenol A based epoxy resins are typically prepared from the reaction of Bisphenol A and epichlorohydrin, wherein the excess of epichlorohydrin determines the number average molecular weight of the epoxy resin. See W.G. Potter: Epoxide Resins, Springer-Verlag, New York (1970) and Y. Tanaka, A. Okada, I. Tomizuka in C.A. May, Y. Tanaka (eds.): Epoxy Resins Chemistry and Technology, Chapter 2, pp. 9 - 134, Marcel Dekker, New York 1973.

The phenol and cresol epoxy novolacs are prepared by the acid-catalyzed condensation of formaldehyde with either phenol or cresol.

Epoxidation of the novolacs with epichlorohydrin furnishes the epoxy novolacs. Commercially available epoxy resins such as Epikote 1055 from Shell, Araldite GT7004 or Araldite ECN9699 from Ciba, D.E.R.664 from Dow and EPON 2002 from Shell are typical examples of glycidyl group containing polyphenoxies.

The glycidyl group containing acrylic copolymers (D) of the present invention have an epoxy equivalent weight of 1.0 to 5.0 milli-equivalents of epoxy/gram of polymer.

The glycidyl group containing acrylic copolymers are further characterized by:

- a number averaged molecular weight ranging from 1000 to 15000
- a glass transition temperature ( $T_g$ ) from 40 to 85°C, measured by Differential Scanning Calorimetry (DSC), according to ASTM D3418 with a heating gradient of 20°C per minute
- an ICI cone and plate viscosity at 200°C of at least 100 mPa.s

The glycidyl group containing monomer used to make the acrylic copolymer of the present invention is used in molar percentages ranging from 10 to 90 and is selected from the group of glycidyl acrylate, glycidyl methacrylate, methyl glycidyl methacrylate, methyl glycidyl acrylate, 3,4-epoxycyclohexylmethyl(meth)acrylate and acrylic glycidyl ether. They can be used alone or in mixtures of two or more.

Other monomers copolymerizable with the epoxy group containing monomer are used in molar percentages ranging from 10 to 90 and are selected from:

- 40 to 100 mole percentage of acrylic or methacrylic ester monomers such as methyl acrylate, ethyl acrylate, n-propyl acrylate, isopropyl acrylate, n-butyl acrylate, n-decyl acrylate, methyl methacrylate, ethyl methacrylate, n-propyl methacrylate, isopropyl methacrylate, n-butyl methacrylate, isobutyl methacrylate, n-amyl methacrylate, n-hexyl methacrylate, isoamyl methacrylate, allyl methacrylate, sec-butyl methacrylate, tert-butyl methacrylate, 2-ethylbutyl methacrylate, cinnamyl methacrylate, crotyl methacrylate, cyclohexyl methacrylate, cyclopentyl methacrylate, methallyl methacrylate, n-octyl methacrylate, 2-ethylhexyl methacrylate, 2-phenylethyl methacrylate and phenyl methacrylate.
- 0 to 60 mole percent of other ethylenically unsaturated copolymerizable monomers such as styrene, alkyl-substituted styrenes and chloro-substituted styrenes, acrylonitrile, vinyl chloride and vinylidene fluoride and vinyl acetate.

The curing agent (E) in accordance to the present invention, having functional groups reactive with the polyester's carboxylic acid groups, is selected from:

- polyepoxy compounds, which are solid at room temperature and contain at least two epoxy groups per molecule, such as, for example, triglycidyl isocyanurate (TGIC) and Araldite PT910 or PT 912 both manufactured by Ciba.
- $\beta$ -hydroxyalkylamides which contains at least one, preferably two bis( $\beta$ -hydroxy-alkyl)amide groups such as those mentioned in US patents 4,727,111, 4,788,255, 4,076,917, EP 322,834 and EP 473,380.

The carboxylic acid group containing amorphous polyester (A) and the hydroxyl group containing semi-crystalline polyester (b), according to the present invention, are prepared using conventional esterification techniques well known in the art. The polyesters are prepared according to a procedure consisting of one or more reaction steps.

For the preparation of these polyesters, a conventional reactor equipped with a stirrer, an inert gas (nitrogen) inlet, a thermocouple, a distillation column connected to a water-cooled condenser, a water separator and a vacuum connection tube are used.

The esterification conditions used to prepare the polyesters are conventional, namely a standard esterification catalyst, such as dibutyltin oxide, dibutyltin dilaurate, n-butyltin trioctoate, sulfuric acid or a sulphonic acid, can be used in an amount from 0.05 to 1.50% by weight of the reactants and optionally, color stabilizers, for example, phenolic antioxidants such as Irganox 1010 (Ciba) or phosphonite- and phosphite-type stabilizers such as tributylphosphite, can be added in an amount from 0 to 1% by weight of the reactants.

Polyesterification is generally carried out at a temperature which is gradually increased from 130°C to about 190 to 250°C, first under normal pressure, then, when necessary, under reduced pressure at the end of each process step, while maintaining these operating conditions until a polyester with the desired hydroxyl and/or acid number is obtained. The degree of esterification is monitored by determining the amount of water formed in the course of the reaction and the properties of the obtained polyester, for example, hydroxyl number, acid number, and viscosity.

The carboxylic acid group containing semi-crystalline polyester (B) is obtained by the ring opening reaction of the anhydride group of a carboxylic acid anhydride with the hydroxyl group of the hydroxyl group containing semi-crystalline polyester (b) at a temperature of from 120 to 200°C.

To the carboxylic acid group containing amorphous and semi-crystalline polyesters, crosslinking catalysts can optionally be added. These catalysts are added in order to accelerate crosslinking reactions of the thermosetting powder composition during curing. Examples of such catalysts include amines (e.g. 2-phenylimidazoline), phosphines (e.g. triphenylphosphine), ammonium salts (e.g. tetrabutylammonium bromide or tetrapropylammonium chloride), phosphonium salts (e.g. ethyltriphenylphosphonium bromide (BETP) or tetrapropylphosphonium chloride). These catalysts are preferably used in an amount of from 0.1 to 5% with respect to the weight of the binder.

According to the present invention, particular carboxylic acid anhydride end-capped semi-crystalline polyesters have been found. Thus according to the present invention, there are provided particular carboxylic acid anhydride end-capped semi-crystalline polyesters, characterized in that they are prepared from the ring-opening reaction of the anhydride group of at least one and preferably two mole(s) of a carboxylic acid anhydride, e.g. trimellitic anhydride, with one mole of hydroxyl functional prepolymers having hydroxyl numbers of from 15 to 70 mg KOH/g, which was prepared from the polycondensation of:

- 1,4-cyclohexanedicarboxylic acid and a linear chain aliphatic C2-C16 diol
- a linear chain aliphatic C4-C16 diacid and a linear chain aliphatic C2-C16 diol or a cycloaliphatic diol

According to the present invention carboxylic acid anhydride end-capped semi-crystalline polyesters prepared from the ring opening reaction of two moles of anhydride with one mole of hydroxyl functional prepolymers prepared from the condensation of:

- 1,4-cyclohexanedicarboxylic acid and ethylene glycol, 1,4-butanediol or 1,6-hexanediol
- 1,12-dodecanedioic acid and ethylene glycol, 1,4-butanediol, 1,6-hexanediol or 1,4-cyclohexanedimethanol
- 1,4-cyclohexanedimethanol and succinic acid, adipic acid or azelaic acid.

The trimellitic anhydride end-capped semi-crystalline polyester of the present invention has a sharp melting zone, a high degree of crystallinity, a high reactivity towards reactable groups of crosslinkers, and properties that are different from those found in the semi-crystalline polyesters known from anterior arts.

Used in the thermosetting powder coating compositions of the present invention, it provides high reactivity, excellent flow and good storage stability.

The glycidyl group containing acrylic copolymer (D) is prepared by conventional polymerization techniques, either in mass, in emulsion, or in the solution of an organic solvent. The nature of the solvent is very little of importance, provided that it is inert and that it readily dissolves the monomers and the synthesized copolymer. Suitable solvents

include toluene, ethyl acetate, butyl acetate, xylene, etc. The monomers are copolymerized in the presence of a free radical polymerization initiator (benzoyl peroxide, dibutyl peroxide, azo-bis-isobutyronitrile, and the like) in an amount representing 0.1 to 4.0% by weight of the monomers.

To achieve a good control of the molecular weight and its distribution, a chain transfer agent, preferably of the mercaptan type, such as n-dodecylmercaptan, *t*-dodecanethiol, iso-octylmercaptan, or of the carbon halide type, such as carbon tetrabromide, bromotrichloromethane, etc., is also added in the course of the reaction. The chain transfer agent is used in amounts of up to 10% by weight of the monomers used in the copolymerization.

A cylindrical, double walled reactor equipped with a stirrer, a condenser, an inert gas (nitrogen, for example) inlet and outlet, and metering pump feeding systems is generally used to prepare the glycidyl group containing acrylic copolymer. Polymerization is carried out under conventional conditions. Thus, when polymerization is carried out in solution, for example, an organic solvent is first introduced into the reactor and heated to the refluxing temperature under an inert gas atmosphere (nitrogen, carbon dioxide, and the like) and a homogeneous mixture of the required monomers, the free radical polymerization initiator and the chain transfer agent, when needed, is then added to the solvent gradually over several hours. The reaction mixture is then maintained at the indicated temperature for certain hours, while stirring. The solvent is then removed from the copolymer obtained *in vacuo*.

The binder system of the thermosetting powdered composition of the present invention is composed of:

- 1 to 50 parts by weight of the carboxylic acid group containing amorphous polyester (A)
- 1 to 50 parts by weight of the carboxylic acid group containing semi-crystalline polyester (B)
- 1 to 90 parts by weight of a glycidyl group containing polyphenoxy resin (C)
- 0 to 85 parts by weight of the glycidyl group containing acrylic copolymer (D)



- 0 to 20 parts by weight of a curing agent having functional groups reactive with the polyesters' functional groups (E)

The binder system of the thermosetting composition of the invention thus is composed in such a way that for each equivalent of carboxyl group present in the amorphous polyester (A) and semi-crystalline polyester (B), there is between 0.3 and 2.0 and preferably between 0.6 and 1.7 equivalents of epoxy groups from the polyphenoxy resin (C), optionally the acrylic copolymer (D) and the curing agent (E). The particular thermosetting polyester blend (A) & (B), can be obtained by dry blending the amorphous and the semi-crystalline polyester using a mechanical mixing procedure as available for the premixing of the powder paint constituents.

Alternatively, the amorphous and the semi-crystalline polyester can be blended in the melt using the conventional cylindrical double-walled reactor or by extrusion such as the Betol BTS40.

In addition to the essential components described above, compositions within the scope of the present invention can also include flow control agents such as Resiflow P-67 (Estron), Modaflow (Monsanto), Acronal 4F (BASF), etc., and degassing agents such as Benzoin (BASF) etc. To the formulation UV-light absorbers such as Tinuvin 900 (Ciba), hindered amine light stabilizers represented by Tinuvin 144 (Ciba), other stabilizing agents such as Tinuvin 312 and 1130 (Ciba), antioxidants such as Irganox 1010 (Ciba) and stabilizers of phosphonite or phosphite types, can also be used.

Both pigmented and clear lacquers can be prepared. A variety of dyes and pigments can be utilized in the composition of this invention. Examples of useful pigments and dyes are: metallic oxides such as titanium dioxide, iron oxide, zinc oxide and the like, metal hydroxides, metal powders, sulphides, sulphates, carbonates, silicates such as ammonium silicate, carbon black, talc, china clay, barytes, iron blues, lead blues, organic reds, organic maroons and the like.

The components of the composition according to the invention may be mixed by dry blending in a mixer or blender (e.g. drum mixer). The premix is then homogenized at temperatures ranging from 50 to 120°C in a single screw extruder such as the BUSS-Ko-Kneter or a twin screw extruder such as the PRISM or APV. The extrudate, when cooled



temperature to give a solid product. The resultant polyester had an acid number of 78 mg KOH/g, a melt viscosity at 100°C measured by Brookfield Cone and Plate Viscometer of 5.5 poise, a melting temperature measured by Differential Scanning Colorimeter (DSC) of 58.9°C.

#### EXAMPLE B

A trimellitic anhydride end-capped crystalline polyester was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Dodecanedioic acid	3692.0
1,6-hexanediol	2143.8
Trimellitic anhydride	729.3
Fascat 4102	12.6

The dodecanedioic acid, 1,6-hexanediol and Fascat 4102 were charged to a reaction vessel and heated under a nitrogen atmosphere until all the ingredients melted. The temperature of the reaction mixture was gradually increased to 230°C. The mixture was stirred and held at 230°C until an acid value of below 5 mg KOH/g was obtained. The reaction mixture was then cooled to 170-190°C followed by the addition of the trimellitic anhydride. The temperature was maintained until the reaction mixture became clear and an acid value of 70-80 mg KOH/g was obtained. Vacuum was applied to remove water to push the polycondensation to completion. The reaction mixture was transferred from the vessel to a receiving container and allowed to cool to room temperature to give a solid product. The resultant polyester had an acid number of 76 mg KOH/g, a melt viscosity at 100°C measured by Brookfield Cone and Plate Viscometer of 6.5 poise, a melting temperature measured by Differential Scanning Colorimeter (DSC) of 60.0°C.

### EXAMPLE C

A carboxylic acid group containing amorphous polyester was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Terephthalic acid	5389.1
Adipic acid	598.8
Neopentyl glycol	4130.2
Trimellitic anhydride	1198.8
Fascat 4102	25.0

The terephthalic acid and adipic acid were charged to a reaction vessel containing molten neopentyl glycol and Fascat 4102 at a temperature of 150°C and under a nitrogen atmosphere. The temperature of the reaction mixture was gradually increased to 230°C. The mixture was stirred and held at 230°C until an acid value of below 5 mg KOH/g was obtained. Vacuum was applied to remove water to push the polycondensation to completion. The reaction mixture was then cooled to 170-190°C followed by the addition of the trimellitic anhydride. The temperature was maintained until the reaction mixture became clear and an acid value of 70-82 mg KOH/g was obtained. The reaction mixture was transferred from the vessel to a receiving container and allowed to cool to room temperature to give a solid product. The resultant polyester had an acid number of 80 mg KOH/g, a melt viscosity at 175°C measured by Brookfield Cone and Plate Viscometer of 90 poise, a glass transition temperature measured by Differential Scanning Colorimeter (DSC) of 63.3°C.

### EXAMPLE D

A glycidyl group containing acrylic copolymer was prepared based on the following procedure:

800 parts of n-butyl acetate are charged in a 5-litre, double walled flask equipped with a stirrer, a water-cooled condenser, an inlet for nitrogen and a thermocouple attached to a thermo-regulator. The flask content is then heated and stirred continuously while nitrogen is purged through the solvent. At 125°C a mixture of 91 parts of *tert*-butylperoxybenzoate in 200 parts of n-butyl acetate are fed in the flask during 215 minutes with a peristaltic pump. 5 Minutes after the feeding, the other pump is started to feed the mixture of 284 parts of glycidyl methacrylate, 312 parts of butyl methacrylate and 312 parts of methyl methacrylate within 180 minutes. The total synthesis time was 315 minutes.

After evaporation of the n-butyl acetate an acrylic copolymer with following characteristics was obtained:

ICI viscosity @200°C	400 poise
M <sub>n</sub>	2600

### EXAMPLE E

A pigmented low temperature cure powder coating composition was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Example C	136
Crystalline Polyester prepared in Example A	34
EPON 2002	170
TiO <sub>2</sub>	150
Resiflow P-67	5
Benzoin	2
BETP	1.5

The ingredients were pre-mixed, melt blended at 90°C in a Prism TSE 16 PC Twin Screw Extruder. The extrudate was chilled and broken into flakes, which were particulated, classified and electrostatically sprayed onto metal or MDF panels and cured at 130°C for 20 minutes. The properties of the resultant coating are reported in Table 1 below.

#### EXAMPLE F

A pigmented low temperature cure powder coating composition was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Example C	127.5
Crystalline Polyester prepared in Example B	42.5
EPON 2002	170
TiO <sub>2</sub>	149.5
Resiflow P-67	5
Benzoin	3.5
BETP	2

The ingredients were pre-mixed, melt blended at 85°C in a Prism TSE 16 PC Twin Screw Extruder. The extrudate was chilled and broken into flakes, which were particulated, classified and electrostatically sprayed onto metal or MDF panels and cured at 130°C for 20 minutes. The properties of the resultant coating are reported in Table 1 below.

#### EXAMPLE G

A pigmented low temperature cure powder coating composition was prepared based on the following mixture of ingredients:

Ingredients	Parts by weight (g)
Example C	127.5
Crystalline Polyester prepared in Example B	42.5
EPON 2002	150
Glycidyl acrylic copolymer of Example D	20
TiO <sub>2</sub>	149.5
Resiflow P-67	5
Benzoin	3.5
BETP	2.0

The ingredients were pre-mixed, melt blended at 90°C in a Prism TSE 16 PC Twin Screw Extruder. The extrudate was chilled and broken into flakes, which were particulated, classified and electrostatically sprayed onto metal or MDF panels and cured at 130°C for 25 minutes. The properties of the resultant coating are reported in Table 1 below.

**Table 1**

Example No.	Gloss		DOI	Reverse Impact (in.lb.)	MEK Double Rubs	Visual Appearance
	60°	20°				
E	99.5	94.2	80	160	>100	smooth
F	99.0	95.0	80	160	> 100	smooth
G	100.0	94.2	80	80	> 100	fairly smooth

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19. The composition according to Claim 3 containing from 0.1 to 5.0 parts by weight, referring to 100 parts of binder, of a catalyzing compound selected from the group consisting of amine, phosphine, ammonium salt and phosphonium salt catalysts.
20. The composition according to Claim 3 additionally containing:
- a. UV-light absorbers and/or hindered amine light stabilizers
  - b. flow control agents and
  - c. degassing agents
21. A clear lacquer containing the thermosetting powder composition of Claim 3.
22. The thermosetting powder coating composition of Claim 3 additionally containing at least one of pigments, dyes and fillers.
23. A method for applying the thermosetting powder composition of Claim 3 which comprises applying it by an electrostatic or friction charging spray gun or fluidized bed technique.
24. A coating for metallic and non-metallic surfaces which comprises the thermosetting powder composition of Claim 3.
25. A coating for a heat sensitive substrate comprising the thermosetting powder composition of Claim 3.
26. The coating for a heat sensitive substrate according to Claim 25 wherein the substrate is selected from the group consisting of paper, cardboard, wood, fiber board, textiles, polycarbonates, poly(meth)acrylates, polyolefins, polystyrenes, polyvinylchlorides, polyesters, polyurethanes, polyamides, copolymers of acrylonitrile butadiene styrene and cellulose acetate butyrate.
27. An entirely or partially coated substrate, wherein the coating material used, is a powder coating composition containing the composition according to Claim 3.
28. A carboxylic acid group containing semi-crystalline polyester which is the reaction product of a carboxylic acid anhydride and a hydroxyl group containing semi-crystalline polyester.

# **ABSTRACT**

Thermosetting coating compositions containing amorphous and semi-crystalline polyesters are provided. They are useful in coating compositions, especially for low temperature powder coating applications. Novel semi-crystalline polyesters useful in the above coating compositions are also provided.

## DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION

(X) Original    () Supplemental    () Substitute    () PCT    () DESIGN

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: POWDER COATING COMPOSITIONS CONTAINING ANHYDRIDE END-CAPPED CRYSTALLINE POLYESTERS

of which is described and claimed in:

() the attached specification, or

(X) the specification in application Serial No. \_\_\_\_\_, filed November 4, 2002, and with amendments through \_\_\_\_\_, or

() the specification in International Application No. \_\_\_\_\_, filed \_\_\_\_\_, and as amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge my duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 (and §172 if this application is for a Design) of any application(s) for patent or inventor's certificate listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:


COUNTRY	APPLICATION NO.	DATE OF FILING	PRIORITY CLAIMED

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

And I hereby appoint Michael R. Davis, Reg. No. 25,134; Matthew M. Jacob, Reg. No. 25,154; Warren M. Check, Jr., Reg. No. 33,367; Nils Pedersen, Reg. No. 33,145; Charles R. Watts, Reg. No. 33,142; and Michael S. Huppert, Reg. No. 40,268, who together constitute the firm of WENDEROTH, LIND & PONACK, L.L.P., as well as any other attorneys and agents associated with Customer No. 000513, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby authorize the U.S. attorneys and agents named herein to accept and follow instructions from UCB, S.A. (Brussels) as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by me.

Direct Correspondence to Customer No:  <div style="text-align: center;">   <b>000513</b>          PATENT TRADEMARK OFFICE       </div>		Direct Telephone Calls to:  WENDEROOTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021  Phone: (202) 721-8200 Fax: (202) 721-8250	
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<b>Full Name of First Inventor</b>	FAMILY NAME <b>WU</b>	FIRST GIVEN NAME <b>Bin</b>	SECOND GIVEN NAME
<b>Residence &amp; Citizenship</b>	CITY <b>Marietta</b>	STATE OR COUNTRY <b>Georgia, USA</b>	COUNTRY OF CITIZENSHIP <b>United States of America</b>
<b>Post Office Address</b>	ADDRESS <b>1656 Millhouse Landing, Marietta, GA 30066</b>		

<b>Full Name of Second Inventor</b>	FAMILY NAME <b>MOENS</b>	FIRST GIVEN NAME <b>Luc</b>	SECOND GIVEN NAME
<b>Residence &amp; Citizenship</b>	CITY <b>Sint-Genesius-Rode</b>	STATE OR COUNTRY <b>Belgium</b>	COUNTRY OF CITIZENSHIP <b>Belgium</b>
<b>Post Office Address</b>	ADDRESS <b>Ijvogellaan 30, 1640 Sint-Genesius-Rode, Belgium</b>		

<b>Full Name of Third Inventor</b>	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
<b>Residence &amp; Citizenship</b>	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
<b>Post Office Address</b>	ADDRESS	CITY	STATE OR COUNTRY ZIP CODE

<b>Full Name of Fourth Inventor</b>	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
<b>Residence &amp; Citizenship</b>	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
<b>Post Office Address</b>	ADDRESS	CITY	STATE OR COUNTRY ZIP CODE

<b>Full Name of Fifth Inventor</b>	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
<b>Residence &amp; Citizenship</b>	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
<b>Post Office Address</b>	ADDRESS	CITY	STATE OR COUNTRY ZIP CODE

<b>Full Name of Sixth Inventor</b>	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
<b>Residence &amp; Citizenship</b>	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
<b>Post Office Address</b>	ADDRESS	CITY	STATE OR COUNTRY ZIP CODE

I further declare that all statements made herein of my own knowledge are true, and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1st Inventor \_\_\_\_\_ Date \_\_\_\_\_  
Bin WU  
2nd Inventor \_\_\_\_\_ Date \_\_\_\_\_  
Luc MOENS  
3rd Inventor \_\_\_\_\_ Date \_\_\_\_\_  
4th Inventor \_\_\_\_\_ Date \_\_\_\_\_  
5th Inventor \_\_\_\_\_ Date \_\_\_\_\_  
6th Inventor \_\_\_\_\_ Date \_\_\_\_\_

The above application may be more particularly identified as follows:

U.S. Application Serial No. \_\_\_\_\_ Filing Date November 4, 2002

Applicant Reference Number Case 257.79 Atty Docket No. 00149/Case 257.75 2002 1317A

Title of Invention POWDER COATING COMPOSITIONS CONTAINING ANHYDRIDE END-CAPPED CRYSTALLINE POLYESTERS